

DeP&Ref  
Room 307

DOCKET NO.: NPCI-0294/719-127CON3

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#71 Reg for  
refund  
09-07-22

Re Application of:

Raja G. Achari et al.

Serial No.: 10/062,290

Group Art Unit: Not Yet Assigned

Filing Date: January 31, 2002

Examiner: Not Yet Assigned

For: NASAL DELIVERY OF APOMORPHINE

DATE OF DEPOSIT: July 12, 2002  
I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID  
ON THE DATE INDICATED ABOVE AND IS ADDRESSED  
TO REFUND SECTION, ACCOUNTING DIVISION, OFFICE  
OF FINANCE, THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231.

TYPED NAME: Jeffrey J. King  
REGISTRATION NO.: 38,515

REFUND SECTION, ACCOUNTING DIVISION, OFFICE OF FINANCE  
Assistant Commissioner for Patents  
Washington DC 20231

REQUEST FOR REFUND

Enclosed please find a copy of the "Monthly Statement of Deposit Account" dated May 31, 2002 which was received in connection with the above-identified U.S. patent application. Our Deposit Account was charged \$740 for Fee Code 101 (basic utility filing fee for large entity status); \$666 for Fee Code 103 (claims in excess of twenty for large entity); and \$336 for Fee Code 102 (independent claims in excess of three). Please note that the patent application transmittal (copy attached) indicates the Applicant by their undersigned attorney, claimed small entity status under 37 C.F.R. §1.27 as a Small Business Concern. Also attached is a copy of the Request for Corrected Filing Receipt identifying the request to correct the Filing Fee Received amount appearing on the Official Filing Receipt dated May 7, 2002.

CHC/CB  
MAY 2002

U.S. PATENT AND TRADEMARK OFFICE

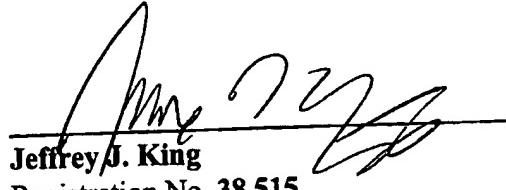
SEARCHED ( ) INDEXED ( )

DOCKET NO.: NPCI-0294/719-127CON3 - 2 -

PATENT

Therefore, please credit our Deposit Account No. 23-3050 in the amount of \$871.00 (\$370 for Fee Code 101; \$333 for Fee Code 103; and \$168 for Fee Code 102). This request is submitted in duplicate.

Date: July 12, 2002

  
Jeffrey J. King  
Registration No. 38,515

© 2001 WW

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office



Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.



WOODCOCK WASHBURN KURTZ MACKIEWICZ&NORR  
ATT: RITA SIGMUND  
ONE LIBERTY PLACE  
46TH FLOOR  
PHILADELPHIA PA 19103

RECEIVED

JUN 29 2002

Account No.	233050
Date	5-31-02
Page	1

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEES CODE	CHARGES/CREDITS	BALANCE
MO.	DAY	YR.						
5	1	02	11	10062290	NPCI-0294/71	101	JUL/JJK 740.00	23294.60
5	1	02	12	10062290	NPCI-0294/71	102	JUL/JJK 420.00	22874.60
5	1	02	13	10062290	NPCI-0294/71	103	JUL/JJK 666.00	22208.60
5	1	02	28	60291227	CERH -0859	584	SEM/DAC/WAC40.00	22168.60
5	1	02	170	NPCI-0294/10062020	0292/719-127	581	JUL/JJK 40.00	22128.60
5	1	02	193	MSFT-0977 09273247	SGI-15-4-775	581	SJR/HENMJS 40.00	22088.60
5	2	02	1	PHRL-0041 09322732	PUJ-0041	115	MD/PKL 110.00	21978.60
5	2	02	2	PHRM-0041 09322732	PUJ-0041	179	MD/PKL 740.00	21238.60
5	2	02	3	09726096	ISIS-4528	216	JUL/JER 45.00	21093.60
5	2	02	4	60363239	BOYC-0005	581	DAC/JER 40.00	21053.60
5	2	02	5	PCT-248709784919	BERG-2487/C2	102	JPD/MKJ/SCT 84.00	20969.60
5	2	02	39	BERG-248709784919	BERG-2487/C2	103	JPD/MKJ/SCT 72.00	20897.60
5	2	02	102	10135309	OVIT-0214	203	JUL/RDN 33.00	20864.60
5	3	02	1	10133015	MILI-0015	102	GTC/MPS 84.00	20780.60
5	3	02	5	09692939	UPN-3905	704	MD/MPD/VJR 84.00	20696.60
5	3	02	5	09046098	CHIR-0079	117	DYT/PKL 110.00	20586.60
5	3	02	25	09692939	UPN-3905	103	MD/MPD/VJR 42.00	20544.60
5	3	02	25	09007679	CRL-0076	248	DRS/MQB 55.00	20489.60
5	3	02	25	60337723	FETH-0003	581	SJR/JJK 40.00	20449.60
5	3	02	237	09169056	ADCM-006	581	MDS/TCW 40.00	20409.60
5	6	02	3	10136740	J&J-0109/JJ0	101	DBE/MPD/MJS 40.00	19669.60
5	6	02	3	PCT/US02/10327	CEPH-1735	801	SEM/DAC/DEI 72.00	19597.60
5	6	02	10	10136883	MSFT-1241/19	101	SJR/MJS 740.00	18857.60
5	6	02	11	10136883	MSFT-1241/19	102	SJR/MJS 420.00	18437.60
5	6	02	12	10136883	MSFT-1241/19	103	SJR/MJS 396.00	18041.60
5	6	02	71	10137019	MSFT-0773	704	SJR/EME/SHAN 168.00	18209.60
5	7	02	1	TJU-248709835853	112116.121	204	MD/PKL -140.00	18349.60
5	7	02	46	10138289	MSFT-1157/30	101	SJR/003/HED 40.00	17609.60
5	7	02	47	10138289	MSFT-1157/30	103	SJR/MIS/HED 144.00	17465.60
5	7	02	48	10062290	NPCI-0294/71	102	JUL/JJK -420.00	17885.60
5	7	02	49	10062290	NPCI-0294/71	102	JUL/JJK 336.00	17549.60
5	7	02	140	10137931	MSFT-1156/30	101	SJR/MDS/HED 40.00	16809.60
5	7	02	141	10137931	MSFT-1156/30	103	SJR/MDS/HED 216.00	16593.60
5	8	02	86	10138751	MSFT-1152/19	101	SJR/MDS/HED 40.00	15853.60
5	8	02	87	10138751	MSFT-1152/19	103	SJR/MDS/HED 396.00	15457.60
5	8	02	96	10138756	UPGN-3311	201	JUL/GJA 370.00	15087.60

OPENING BALANCE      TOTAL CHARGES      TOTAL CREDITS      CLOSING BALANCE

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

\*\*\* O.D. INDICATES OVERDRAW

DOCKET NO.: NPCI-0294/719-127CON3

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:  
Raja Achari et al.

Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

Filing Date: Herewith

Examiner: Not yet assigned

For: Nasal Delivery of Apomorphine

EXPRESS MAIL LABEL NO: EL695382480US  
DATE OF DEPOSIT: January 31, 2002

EL695382480US

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS EXPRESS MAIL NO. EL695382480US.  
POSTAGE PREPAID ON THE DATE INDICATED  
ABOVE AND IS ADDRESSED TO BOX PATENT  
APPLICATION, COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231.

TYPED NAME: Jeffrey J. King  
REGISTRATION NO.: 38,515

Box  Patent Application  
 Sequence  Design

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find

A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

continuation  divisional  continuation-in-part of prior application  
number 09/334,304, filed June 16, 1999, which claims the benefit of prior provisional

application serial No. 60/096,545, filed August 14, 1998.

- A Design Patent Application (submitted in duplicate).
- Request for Nonpublication is attached.

Including the following:

- New or Revised Specification, including pages \_\_\_ to \_\_\_ containing:
  - Specification
  - Claims
  - Abstract
  - Substitute Specification, including Claims and Abstract.
- The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.
- The present application is a continuation application of Application No. \_\_\_\_\_ filed \_\_\_\_\_, which in turn is a continuation-in-part of Application No. \_\_\_\_\_ filed \_\_\_\_\_. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.
- A copy of earlier application Serial No. 09/334,304, Filed June 16, 1999, including Specification, Claims and Abstract (pages 1 - 29), to which no new matter has been added. Such earlier application is hereby incorporated into the present application by reference. Attached is a copy of a new unsigned Declaration and Power of Attorney.
- Please enter the following amendment to the Specification under the Cross-Reference to

Related Applications section (or create such a section) : "This Application:

is a continuation of  is a divisional of  claims benefit of U.S. provisional Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

- Signed Statement attached deleting inventor(s) named in the prior application.
- A Preliminary Amendment.
- \_\_\_\_\_ Sheets of Formal Drawings.
- Drawing view to publish: \_\_\_\_\_
- Petition to Accept Photographic Drawings.
- Petition Fee
- An  Executed  Unexecuted Declaration or Oath and Power of Attorney.
- An  Executed  Copy of Executed Assignment of the Invention to \_\_\_\_\_  
 A Recordation Form Cover Sheet.  
 Recordation Fee - \$40.00.
- The prior application is assigned of record to Nastech Pharmaceutical Company, Inc.
- Priority is claimed under 35 U.S.C. § 119 of Patent Application No. \_\_\_\_\_  
filed \_\_\_\_\_ in \_\_\_\_\_ (country).
- A Certified Copy of each of the above applications for which priority is claimed:  
 is enclosed.  
 has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.
- Please enter the following amendment to the first sentence of the specification (or create such a sentence): "This application claims benefit of international application No. \_\_\_\_\_, which was published under PCT Article 21(2) in English."
- Applicant(s) by its/their undersigned attorney, claims small entity status under 37 C.F.R.

§1.27 as:

- an Independent Inventor
- a Small Business Concern
- a Nonprofit Organization.

- Diskette Containing DNA/Amino Acid Sequence Information.
- Statement to Support Submission of DNA/Amino Acid Sequence Information.
- Sequence listing consisting of pages \_\_\_\_\_.
- The computer readable form in this application \_\_\_\_\_, is identical with that filed in Application Serial Number \_\_\_\_\_, filed \_\_\_\_\_. In accordance with 37 CFR 1.821(e), please use the  first-filed,  last-filed or  only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application.. A paper copy of the Sequence Listing is  included in the originally-filed specification of the instant application,  included in a separately filed preliminary amendment for incorporation into the specification.
- Information Disclosure Statement.
  - Attached Form 1449.
  - Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- A copy of Petition for Extension of Time as filed in the prior case.
- Return Receipt Postcard (should be specifically itemized).
- Other as follows: Photocopy of Revocation and Power of Attorney filed in parent case, USSN 09/334,304, filed June 16, 1999. Photocopy of Notice Regarding Power of Attorney mailed October 3, 2001.

## FEE CALCULATION:

- Cancel in this application original claims 31-34 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
DESIGN APPLICATION	\$165.00	\$	\$330.00	\$
UTILITY APPLICATIONS BASE FEE	\$370.00	\$370	\$740.00	\$
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS				
TOTAL CLAIMS	No. Filed 57 - 20 =	No. Extra 37	\$9 each \$333	\$18 each \$
INDEP. CLAIMS	7 - 3 =	4	\$42 each \$168	\$84 each \$
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM	\$140	\$-0-	\$280	\$
ADDITIONAL FILING FEE		\$		\$
TOTAL FILING FEE DUE		\$871		\$

- The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- The foregoing amount due.
  - Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
  - Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to

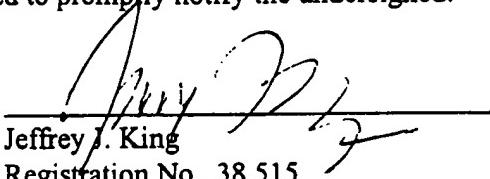
DOCKET NO. :NPCI-0294/719-127CON3- 6 -

PATENT

charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: January 31, 2002.

  
Jeffrey J. King  
Registration No. 38,515

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

©2001 WW



DOCKET NO.: NPCI-0294

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Raja G. Achari, Shamim Ahmed, Charanjit R. Behl,  
Jorge C. deMeireles, Tianqing Liu, Vincent D. Romeo,  
and Anthony P. Sileno

Group Art Unit:1617

Serial No.: 10/062,290

Customer No.: 23377

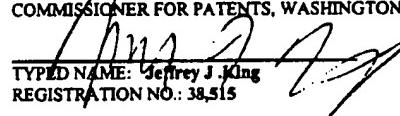
Filing Date: January 31, 2002

Confirmation No.: 1773

For: NASAL DELIVERY OF APOMORPHINE

DATE OF DEPOSIT: May 22, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS FIRST  
CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED  
ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT  
EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT  
COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

  
TYPED NAME: Jeffrey J. King  
REGISTRATION NO.: 38,515

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231  
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

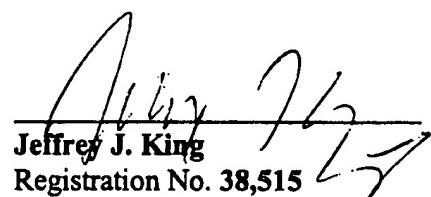
1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

- incorrectly entered; and/or  
 omitted.

<i>Error in</i>	<i>Correct data</i>
1. Applicant's name: a) currently Demeireles b) currently Steven C. Quay	1. a) <b>deMeireles</b> b) <b>Vincent D. Romeo, deceased</b>
2. Applicant's address: currently Edmonds, WA	2. <b>Massapequa Park, NY</b>
3. Title	3.
4. Filing Date	4.
5. Serial Number	5.
6. Foreign/PCT Application Re:	6.
7. Other: Filing Fee Received	7. <b>871</b>

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: May 22, 2002



Jeffrey J. King  
Registration No. 38,515

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439